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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Fernando Gonzalez and David Kao  
Serial No. : 09/375,081  
Filed : August 16, 1999  
Title : TRANSISTORS HAVING CONTROLLED CONDUCTIVE SPACERS, USES  
OF SUCH TRANSISTORS AND METHODS OF MAKING SUCH  
TRANSISTORS  
Docket : MIO 007 NA  
Art Unit : 2811

Assistant Commissioner for Patents  
Washington, DC 20231

Sir: **RECEIVED**

NOV 15 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on  
November 9, 1999

*Richard C. Stevens*  
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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO.

The above is a continuation of U.S. Serial No. 08/987,817 filed December 10, 1997. Pursuant to §1.98(d), copies of those items cited by or submitted to the Office in the prior

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application are not being submitted, but are listed on PTO/SB/08A, a substitute for form 1449A/PTO.

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application.



Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN  
& SCHAEFF, L.L.P.

By

A handwritten signature of Richard C. Stevens in black ink.

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